



PATENT  
MOS01 P-120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hermann Franzen and Joachim Kröll  
U.S. Filing Date : March 4, 2005  
U.S. Serial No. : 10/526,703  
Int'l Filing Date : August 28, 2003  
Int'l Application No. : PCT/EP03/09511  
For : **TRANSFER PLANT AND METHOD FOR  
LOADING AND UNLOADING CONTAINERS  
FROM CONTAINER SHIPS**  
Atty Docket No. : MOS01 P-120  
Customer No. : 28101

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

SUBMITTAL OF MISSING PARTS OF APPLICATION

Receipt of a NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/  
ELECTED OFFICE (DO/EO/US) mailed September 13, 2005, is hereby  
acknowledged. In response thereto, enclosed is the following:

1. DECLARATION AND POWER OF ATTORNEY  
duly executed by the inventors.
2. Payment of One Hundred Thirty Dollars (\$130)  
for the surcharge fee.
3. Copy of the Notice.

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Applicant : Hermann Franzen and Joachim Kröll  
Page : 2

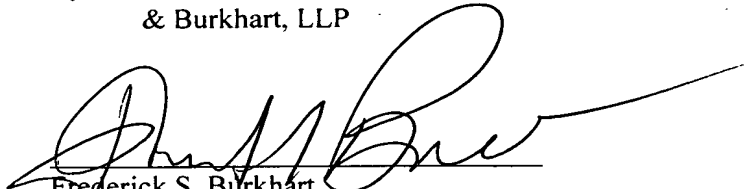
All parts of the above-identified application have now been submitted to the Patent Office. Please charge any additional fees or credit overpayment to Deposit Account No. 22 0190. A duplicate copy of this sheet is attached.

Respectfully submitted,

HERMANN FRANZEN and  
JOACHIM KRÖLL

By: Van Dyke, Gardner, Linn  
& Burkhart, LLP

Dated: September 30, 2005.



Frederick S. Burkhart  
Registration No. 29 288  
2851 Charlevoix Drive, S.E., Suite 207  
Post Office Box 888695  
Grand Rapids, Michigan 49588-8695  
(616) 975-5500

FSB:wen  
MOS01 P-120



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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www.uspto.gov

FSR

U.S. APPLICATION NUMBER NO.

10/526,703

FIRST NAMED APPLICANT

Hermann Franzen

ATTY. DOCKET NO.

MOS01 P-120

INTERNATIONAL APPLICATION NO.

PCT/EP03/09511

I.A. FILING DATE

08/28/2003

PRIORITY DATE

09/12/2002

Frederick S Burkhardt  
Post Office Box 888695  
Grand Rapids, MI 49588-8695



CONFIRMATION NO. 7438

371 FORMALITIES LETTER



\*OC000000016994296\*

Date Mailed: 09/13/2005

by Missing Parts DUE  
November 13, 2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/04/2005
- English Translation of the IA filed on 03/04/2005
- Copy of the International Search Report filed on 03/04/2005
- Copy of IPE Report filed on 03/04/2005
- Preliminary Amendments filed on 03/04/2005
- Information Disclosure Statements filed on 03/04/2005
- Request for Immediate Examination filed on 03/04/2005
- U.S. Basic National Fees filed on 03/04/2005
- Priority Documents filed on 03/04/2005

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SEP 16 2005

VANDYKE, GARDNER  
LINN & BURKHART, LLP.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surchage.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/526,703	PCT/EP03/09511	MOS01 P-120

FORM PCT/DO/EO/905 (371 Formalities Notice)



JCO6 Rec'd PCT/PTO 03 OCT 2005  
PATENT  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF MAILING

I hereby certify that this paper along with the attached transmittal letter for Submittal of Missing Parts of Application (2 pages, in duplicate); Declaration and Power of Attorney (2 pages); copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pages); Assignment (1 page); Assignment Recordation Cover Sheet (1 page, in duplicate); and check in the amount of \$170 for the surcharge fee and the Assignment recordation fee; and return postal card are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on September 30, 2005.

10/07/2005 GFREY1 00000120 10526703  
01 FC:1617 130.00 OP

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MOS01 P-120

Wendy E Nance  
Wendy E. Nance  
Van Dyke, Gardner, Linn & Burkhart, LLP  
2851 Charlevoix Drive, S.E., Suite 207  
Post Office Box 888695  
Grand Rapids, Michigan 49588-8695  
(616) 975-5500

10/526703

DT01 Rec'd PCT/ 04 MAR 2005

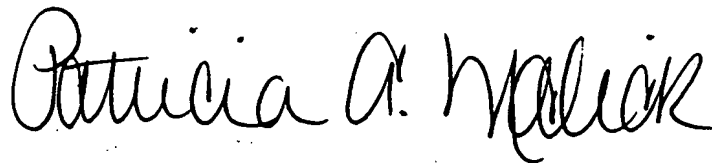
### Verification Statement

I verify that the attached document represents a complete and accurate translation in English of the amendments made 9 July 2004 (per Rule 34 PCT) to the German language international patent application PCT/EP2003/009511 entitled "Transfer plant and method for loading and unloading containers from container ships" – in the international phase. I certify that I am a professional translator who is fluent in the English and German languages.

  
Ron Radzai/ Ashland, PA, U.S.A.

1-25-05  
Date





Notarial Seal  
Patricia A. Mallick, Notary Public  
Mt. Carmel Boro, Northumberland County  
My Commission Expires May 2, 2006